

# KYC / AML Policy

Know-your-customer and anti-money-laundering framework applied to all counterparties.

Specimen document. The text below is placeholder content provided to demonstrate the layout.

## Purpose and scope

The policy applies to all employees, counterparties and business relationships of the firm.

This section contains placeholder text used to demonstrate the document layout. The final document is provided to verified partners on request.

## Customer due diligence

- Verify the identity of each counterparty using reliable, independent source documents.
- Identify and verify beneficial ownership and control structures.
- Screen counterparties against applicable sanctions and watch lists.
- Assess and record the purpose and intended nature of the relationship.

## Ongoing monitoring

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## Record keeping

Records are retained for the period required by applicable law.